



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set, not Just met

10-01-2023

To
The Manager
Listing Department
BSE Limited

Scrip Code: 530907

Sir/ Madam,

Sub: SDD Compliance Certificate for the Quarter year ended December 31, 2022

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and notifications thereon, we hereby submit the SDD Compliance certificate for the Quarter year ended December 31, 2022 certified by company Secretary and compliance officer Mr.Akhil T M

Kindly take the same on record.

Thank you.

For S.I. Capital & Financial Services Limited

Akhil T M
Company Secretary

Encl: As above



Regd. Office No.27, First Floor, New Scheme Road,
Pollachi, Coimbatore, Tamil Nadu 642001
CIN : L67190TZ1994PLC040490
Tel : 04259 – 233304/05, E-Mail : info@sicapital.co.in
Website : www.sicapital.co.in



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Akhil T M , Compliance Officer of the company, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in Database
4. The system has captured nature of UPSI along with date and time
5. The Database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 13 number of events during the quarter year ended and has captured 13 number of the said required events.

For S.I.Capital & Financial Services Limited

Akhil T M
Compliance Officer of the company
Date: 10/01/2023
Place: Pollachi



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